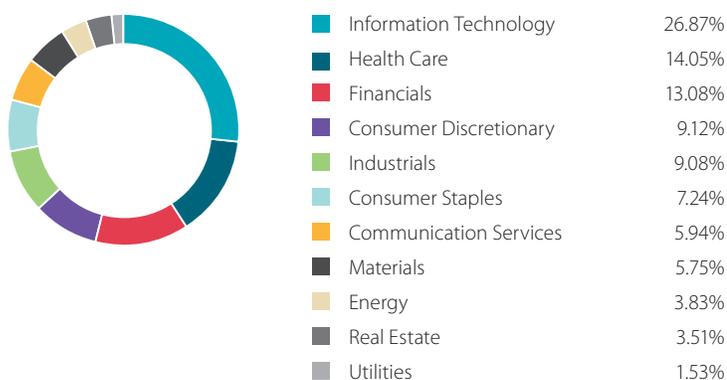


# Riverfront Dynamic US Flex-Cap ETF

Fact Sheet | December 31, 2021

Under normal market conditions, the Fund will seek to achieve its investment objective by investing at least 65% of its net assets in a portfolio of equity securities of publicly traded U.S. companies. In selecting the Fund's portfolio securities, RiverFront assembles a portfolio of eligible securities based on several core attributes, including, but not limited to, value, quality and momentum. RiverFront will consider multiple proprietary factors within each core attribute, such as the price-to-book value of a security when determining value, a company's cash as a percentage of the company's market capitalization when determining quality and a security's three month relative price change when determining momentum. RiverFront then assigns each qualifying security a score based on its core attributes and selects the individual securities with the highest scores for investment. In doing so, RiverFront utilizes its proprietary optimization process to maximize the percentage of high-scoring securities included in the portfolio. RiverFront will also consider the market capitalization of the companies in which the Fund may invest, and the trading volume of a company's shares in the secondary market.

## Market Sector Breakdown (% of Total Investments)^



## Top 10 Holdings (% of Total Investments)^

Apple Inc	4.91%
Microsoft Corp	4.42%
Amazon.Com Inc	2.36%
Unitedhealth Group Inc	1.70%
Jpmorgan Chase & Co	1.45%
Johnson & Johnson	1.43%
Home Depot Inc	1.40%
Visa Inc-Class A Shares	1.39%
Broadcom Inc	1.26%
Salesforce.Com Inc	1.22%

^ Future holdings are subject to change.  
Source: ALPS, Inc. as of 12/31/21.

## ETF Stats

Ticker:	RFFC
Listing Exchange:	NYSE Arca
CUSIP:	00162Q 510
Fund Inception:	6/6/2016
Net Asset Value Per Share*:	\$47.77
Number of Holdings*:	153
Dividend Frequency:	Monthly
Most Recent Dividend**:	\$0.05376
Net Expense Ratio:	0.52%
Gross Expense Ratio:	<b>0.52%</b>

\* As of December 31, 2021.

\*\* Dividend paid on 12/23/21.

## Investment Objective

The Fund seeks to provide capital appreciation.

## Investable Securities

Under normal market conditions, the Fund will seek to achieve its investment objective by investing at least 65% of its net assets in a portfolio of equity securities of publicly traded U.S. companies. The equity securities the Fund may invest in as part of its principal investments are common stocks and common or preferred shares of real estate investment trusts (REITs). The Fund may invest in small, mid and large capitalization companies. It may also invest in other exchange-traded funds and/or closed-end funds which invest in equity securities.

## Fund Characteristics

30 Day SEC Yield <sup>‡</sup>	0.75%
30 Day SEC Yield (Unsubsidized)	0.75%

<sup>‡</sup> The 30 day SEC yield figure reflects the dividends and interest earned during the period, after the deduction of the Fund's expenses.

## Performance as of 12/31/21

Total Returns	Cumulative				Annualized			
	1 Mo.	3 Mo.	6 Mo.	YTD	1 Yr.	3 Yr.	5 Yr.	S.I. <sup>1</sup>
RiverFront Dynamic US Flex-Cap ETF (Net Asset Value)	3.99%	8.71%	7.52%	22.31%	22.31%	19.40%	13.18%	13.58%
RiverFront Dynamic US Flex-Cap ETF (Market Price) <sup>2</sup>	4.03%	8.73%	7.64%	22.40%	22.40%	19.47%	13.18%	13.59%
S&P Composite 1500 <sup>®</sup> Index (Benchmark) <sup>3</sup>	4.52%	10.71%	11.10%	28.45%	28.45%	25.63%	17.95%	17.58%

**Performance data quoted represents past performance. Past performance does not guarantee future results. Investment return and principal value of an investment will fluctuate so that an investor's shares, when sold or redeemed, may be worth more or less than the original cost. Current performance data may be higher or lower than actual data quoted. For the most current month-end performance data please call 866-759-5679. Performance includes reinvested distributions and capital gains.**

<sup>1</sup> Inception date of June 6, 2016. Performance less than one year is cumulative.

<sup>2</sup> Market Price is based on the midpoint of the bid/ask spread at 4 p.m. ET and does not represent the returns an investor would receive if shares were traded at other times.

<sup>3</sup> The Standard & Poor's (S&P) Composite 1500 Index is a broad-based unmanaged capitalization-weighted index comprising 1,500 stocks of Large-cap, Mid-cap, and Small-cap U.S. companies. An investor may not invest directly in an index.

## A Solution Based Partnership

## ALPS Advisors, Inc.

- Serves as Advisor to the Fund
- Specializes in manager oversight and evaluation
- Focuses on delivering innovative asset management solutions

## RiverFront Investment Group

- Serves as Sub-Advisor to the Fund
- RiverFront uses a proprietary Price Matters<sup>®</sup> optimization process to provide comprehensive, tactical global allocation portfolios
- The firm uses a "Glass Walls" approach, stressing transparency in its advice model and its relationships within the financial services industry

## Important Disclosures &amp; Definitions

**An investor should consider investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus which contain this and other information visit [www.alpsfunds.com](http://www.alpsfunds.com). Read the prospectus carefully before investing.**

**Shares are not individually redeemable. Investors buy and sell shares on a secondary market. Only market makers or "authorized participants" may trade directly with the Fund, typically in blocks of 5,000, 25,000 or 50,000 shares.**

The performance of the Fund relative to its benchmark will depend largely on the decisions of the RiverFront Investment Group, LLC (the "Sub-Adviser" or "RiverFront") as to strategic asset allocation and tactical adjustments made to the asset allocation. At times, RiverFront's judgments as to the asset classes in which the Fund should invest may prove to be wrong, as some asset classes may perform worse than others or fixed income markets generally from time to time or for extended periods of time. The performance of the Fund is related to the market sectors that RiverFront may choose to emphasize or deemphasize from time to time, as well as to the individual securities selected by RiverFront within those sectors. The investment returns for particular market sectors will fluctuate and may be lower than other sectors. In addition, the individual securities chosen for investment within a particular sector may underperform other securities within that same sector. Certain bonds selected for the Fund's portfolio may decline in value more than the overall bond markets.

The RiverFront Dynamic US Flex-Cap ETF is not suitable for all investors. Subject to investment risks, including possible loss of the principal amount invested.

RiverFront's Price Matters<sup>®</sup> discipline compares inflation-adjusted current prices relative to their long-term trend to help identify extremes in valuation.

ALPS Portfolio Solutions Distributor, Inc., a FINRA member, is the distributor for the Fund. ALPS Advisors, Inc. is the investment adviser to the Fund and RiverFront Investment Group, LLC is the investment sub-adviser. ALPS is not affiliated with RiverFront Investment Group, LLC.

The RiverFront Dynamic US Flex-Cap ETF is: Not FDIC Insured - No Bank Guarantee - May Lose Value.

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